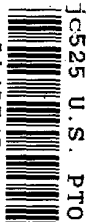


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03/05/02



jc525 U.S. PTO

Attorney Docket No. 058937/0131

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc971 U.S. PTO

10/092382



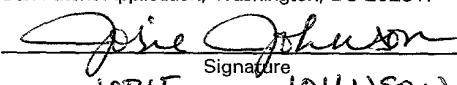
03/05/02

Inventor(s): Derek Ian Finch, et al.

Title: FLUID CONNECTORS FOR  
HEAT EXCHANGERS

Serial No: Not yet assigned

Filed: Herewith

"Express Mail" Mailing Label	
No.	<u>EL 135823875 US</u>
Date of Deposit	<u>MARCH 5, 2002</u>
<p>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.</p>	
 JORIE JOHNSON	

BOX PATENT APPLICATION  
 Assistant Commissioner for Patents  
 Washington, DC 20231

## UTILITY PATENT APPLICATION TRANSMITTAL

*New nonprovisional application under 37 CFR 1.53(b)*

1. ☒ Fee Transmittal Form (*Submit an original, and a duplicate for fee processing*)
2. ☒ Specification [Total Pages 25 ]
3. ☒ Drawing(s) (35 USC 113) [Total Pages 19 ] informal
4. ☒ Oath or Declaration [Total Pages 3 ]
  - a. ☒ Newly executed (*original or copy*)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
 (*for continuation/divisional with Box 17 completed*)  
 [Note Box 5 below]
    - i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).
5. ☐ Incorporation By Reference (*useable if Box 4b is checked*). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*) *Hard Copy Only*

7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

#### DOCUMENTS ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers ✓
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copy of IDS Citation
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, status still proper and desired.
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. If a CONTINUING APPLICATION, check the appropriate box and supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ...of prior application No.: \_\_\_\_/\_\_\_\_

18. Correspondence Address

NAME: Marshall J. Brown

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Suite 3300  
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CITY: Chicago

STATE: Illinois

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19. The filing fee has been calculated as shown below

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	31 - 20 =	11	x \$18=	\$ 198
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	6 - 3 =	3	x \$84=	\$ 252
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$280=	0
				BASIC FEE (37 CFR 1.16(a))	\$ 740
	Total of above Calculations =				\$ 1,190
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0
	TOTAL =				\$ 1,190

20. ☐ Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

21. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1450:

- a. ☒ Any additional filing fees required under 37 CFR 1.16 for the application or any amendment thereto, once any check for fees submitted herewith has been taken into account
- b. ☐ Fees required under 37 CFR 1.17

22. ☐ A check payable to the Director of the United States Patent and Trademark Office in the amount of \$\_\_\_\_\_ is enclosed.

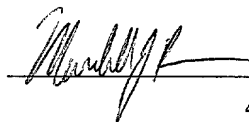
23. **Other:** If the filing fee is required at this time in order to obtain a filing date and serial number for this application, the Commissioner is authorized to deduct this fee from Deposit Account No. 06-1450. Please deduct this fee only if it is necessary for receiving a filing date.

24. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT (REQUIRED)

NAME:

Marshall J. Brown

SIGNATURE:



REGISTRATION

44,566

DATE

March 5, 2002